



Investment management

Product brochure
Worldwide



Overview

Just like any financial institution, investment managers are exposed to a wide range of traditional risks, such as management liability, crime and regulatory investigations.

Unfortunately, there is also a growing list of emerging risks, such as K&R and cyber, which these businesses must now address. Our package policy for investment managers tackles this range of risks head on.

About CFC

CFC is a specialist insurance provider and a pioneer in emerging risk. With a track record of disrupting inefficient insurance markets, CFC uses proprietary technology to deliver high-quality products to market faster than the competition while making it easier for brokers to do business. Our broad range of commercial insurance products are purpose-built for today's risks, and we aim to give our customers everything they need in one, easy-to-understand policy.

Headquartered in London and backed by Lloyd's, CFC serves more than 60,000 businesses in over 75 countries. Learn more at cfcunderwriting.com and [LinkedIn](#).

Contact



Neil Beaton
Financial Institutions Practice Leader

nbeaton@cfcunderwriting.com

+44 (0)7456 483 765

Coverage highlights

Fully blended policy

Our policy is fully blended, meaning we've pulled together the wide range of essential insurance lines that investment managers need into a single package, including E&O, D&O, and crime. This makes the buying process more efficient, while reducing gaps and overlaps in cover.

Policy can be AIFMD compliant

For those holding funds in the EU, we can extend the policy to be compliant with the Alternative Investment Fund Managers Directive. This can help offset some of the capital required to cover potential liability risks arising from professional negligence.

Additional D&O limits available for non-executive directors

We can provide an additional D&O limit to cover non-executive directors from personal liability arising from the operations of the organisation. This can help attract talent to the company by providing peace of mind to that individual that they'll have cover should the initial D&O limit be eroded by a claim.

Cyber extension available

Cyber risk is an exposure that no modern business can escape, but its impact is felt even more in industries such as finance where large quantities of sensitive data are held. Our cyber extension helps protect against the financial impact caused by privacy and cybercrime events, and gives policyholders crucial access to an experienced incident response team should crisis strike.

K&R extension available

Particularly for investment managers that work in emerging markets, our policy offers optional kidnap and ransom cover. This cover can be tailored for a wide range of companies or individuals, and comes with a global 24/7 incident response hotline, ensuring fast and reliable support from your pre-selected security consultant.

Worldwide cover available

Companies are increasingly operating on a global scale, so shouldn't just be covered for claims in a single territory. That's why our policies cover claims made anywhere in the world as standard.



What we love

- Hedge funds
- Long-only equity funds including mutual fund managers
- Private equity and venture capital fund managers
- Property funds (UK), REITS, and real estate funds (US)
- Registered investment advisors



What we consider

- Cannabis-related investment vehicles (Canada)
- Family offices
- M&A advisory firms



What we ordinarily decline

- 100% crypto investment strategies
- 100% fund trust administration
- Cannabis-related investment vehicles (US)
- Financial planners
- FX traders

Limits, deductibles and premiums

Maximum aggregate limit	\$10,000,000
Maximum E&O, D&O, and crime limits	\$10,000,000
Minimum deductible	\$10,000
Minimum policy premium	\$10,000

Coverage is subject to underwriting and the terms, conditions, and limits of the issued policy.